(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 3 January 2003 (03.01.2003)

PCT

(10) International Publication Number WO 03/001274 A1

(51) International Patent Classification7:

G02B 26/12

(21) International Application Number: PCT/NL02/00400

(22) International Filing Date: 19 June 2002 (19.06.2002)

(25) Filing Language:

Dutch

(26) Publication Language:

English

(30) Priority Data:

1018338

20 June 2001 (20.06.2001) NL

(71) Applicant (for all designated States except US): DRAKA FIBRE TECHNOLOGY B.V. [NL/NL]; Zwaanstraat 1, NL-5651 CA Eindhoven (NL).

(72) Inventors; and

(75) Inventors/Applicants (for US only): VAN BERGEN, Andries, Heero [NL/NL]; Het steenen Huys, NL-5673 RR Nuenen (NL). VAN STRALEN, Mattheus, Jacobus, Nicolaas [NL/NL]; Munnekeburenstraat 58, NL-5045 DV Tilburg (NL). JETTEN, Mark, Peter, Marie [NL/NL]; Boermansstraat 7, NL-6001 CG Weert (NL).

(74) Agent: VAN KAN J.J.H.; Algemeen Octrooibureau, World Trade Center, Pastoor Petersstraat 160, NL-5612 LV Eindhoven (NL). (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

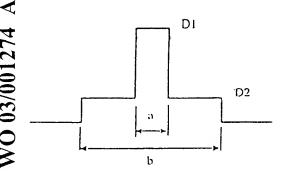
(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: OPTICAL FIBRE



(57) Abstract: The present invention relates to an optical fibre mainly consisting of silica glass, which fibre comprises: i) an inner core having a first refractive index n1 and a first diameter a; ii) an outer core surrounding the outer circumference of the inner core and having a second refractive index n2 and a second diameter b; and iii) a cladding surrounding the outer circumference of the outer core, which cladding has a third refractive index n3, wherein n1 > n2 > n3.

BEST AVAILABLE COPY

10

15

20

25

30

Title: Optical fibre

The present invention relates to an optical fibre mainly consisting of silica glass, which fibre comprises:

i) an inner core having a first refractive index n1 and a first diameter a;

ii) an outer core surrounding the outer circumference of the inner core and having a second refractive index n2 and a second diameter b; and

iii) a cladding surrounding the outer circumference of the outer core, which cladding has a third refractive index n3, wherein n1 > n2 > n3.

European patent application No 0 249 230 relates to a single mode optical fibre having a zero dispersion wavelength in the wavelength range of 1500 nm - 1600 nm, which optical fibre comprises a core consisting of an inner core of GeO_2 -SiO₂ or GeO_2 -F-SiO₂, and an outer core of F-SiO₂, and a cladding of F-SiO₂.

US patent No 5,848,215 relates to an optical fibre wherein the chromatic dispersion for the intended wavelength of 1550 nm of optical communications signals becomes zero over the entire fibre length as a result of the relative refractive index difference of the optical fibre and the core radius being increased or decreased in the same direction.

Such an optical fibre is furthermore known from European patent application No 0 785 448, which fibre must preferably meet a number of preconditions, in particular a/b < 0.20 and b > 15 μ m. Such a fibre has the zero dispersion wavelength thereof within the range of at least 1560 nm, but not exceeding 1600 nm, which value is slightly shifted relative to the wavelength of the signal light (1.5 μ m). The optical fibre that is known therefrom moreover has an MFD value not lower than 8.0 μ m so as to decrease the optical power density without decreasing

10

15

20

25

30

the signal intensity as a whole. In addition to that, the optical fibre that is known therefrom has a so-called cutoff wavelength of at least 1.0 μ m but not more than 1.8 μ m if the length thereof is 2 m. Moreover, all the examples described in European patent application No 0 785 448 exhibit a so-called zero dispersion higher than 1550 nm, which points to negative dispersion fibres. No mention is made of bending losses.

According to the usual method, an optical fibre having a predetermined external diameter is produced by heating one end of a barshaped preform and subsequently drawing the optical fibre from the plasticized end thereof. In an optical fibre that has been obtained in this manner, however, the cross-section of the core parts and the surrounding layers will exhibit a slightly ellipsoid or disturbed circular shape, which makes it difficult to obtain a perfectly circular concentric shape. Accordingly, the refractive index distribution in the direction of the diameter of the optical fibre thus obtained is not perfectly concentric, which leads to an increase of the so-called polarization mode dispersion (PMD). Thus, the "polarization mode dispersion" is a dispersion which occurs as a result of a difference in speed between two polarizations being orthogonal with respect to each other in a cross-sectional portion of an optical fibre. The influence of the aforesaid polarization mode dispersion is great if such optical fibres are used for long-distance transmission, which requires a large capacity over a long-distance. In addition, the influence of the polarization mode dispersion at high transmission rates per channel is considerable.

For some time optical fibres have been in use which are used in the transmission window at 1550 nm. These so-called dispersion shifted fibres (DSF) make use of the intrinsically low attenuation level of the fibre at wavelengths in the so-called C-band (1530-1565 nm), and in addition they have a shifted zero dispersion in the C-band so as to counteract widening of the transmission pulse due to chirping. Generally,

10

15

20

25

30

Wavelength Division Multiplexing (WDM) is used for increasing the capacity of a glass fibre, in which several wavelengths in the same transmission window are processed for simultaneous transmission of data over a glass fibre. When WDM and high transmission rates are used, socalled non-linear effects may have an adverse effect on the transmission capacity. These non-linear effects are Four Waving Mixing (FWM), Self-Phase Modulation (SPM), Cross Phase Modulation (XPM) and Parametric Gain (PG). Since FWM mainly occurs with zero or near zero dispersion, the known WDM fibres exhibit a low dispersion deviating from zero in the Cband. SPM is counteracted by increasing the effective fibre area. As a result, the intensity of the light to be transmitted in the fibre is reduced. One drawback of increasing the effective area is that the dispersion gradient of the fibre will increase. Consequently. usability of the fibre at the edges of the transmission range is limited as a result of the dispersion being too high of too low. This leads to a serious limitation as regards the use of said fibres in transmission ranges outside the C-band, which ranges are being given a great deal of attention with a view to increasing the transmission capacity of a single fibre even further, such as the L-band (1565-1625 nm) or the S-band (1440-1530 nm). Solutions for the trade-off between dispersion gradient and effective area are thus sought in profiles in which the central portion of the light transmitting core has a lower refractive index, frequently in combination with an index ring in the cladding. Because of the large number of geometric properties that are to be controlled, such profiles are difficult to reproduce and to produce with a sufficient yield, however. Furthermore, the risk of deviations in the circular symmetry increases, which has an adverse effect on the Polarisation Mode Dispersion (PMD). In addition to that it is difficult to keep the bending losses, which play a role upon installation of a fibre, sufficiently low when using such profiles.

The object of the present invention is thus to provide a

fibre having a profile which can be produced in a readily reproducible manner, which fibre is in particular suitable for WDM applications.

The invention as referred to in the introduction is according to the present invention characterized in that the optical fibre has a zero dispersion wavelength of less than 1500 nm, and in that it meets the following requirements:

0.2 < a/b < 0.55

10

5

0.05 < D2/D1 < 0.3

wherein:

15

D2 = ((n2-n0)/n2)*100%

D1 = ((n1-n0)/n1)*100%

n0 = refractive index value undoped silica glass

n1 = refractive index value doped inner core

n2 = refractive index value doped outer core.

20

25

30

By suitably selecting both the proportion a/b and the proportion D2/D1, an optical fibre is obtained which is especially suitable for WDM applications. The advantage of such a profile is that it is potentially less sensitive to PMD and macro bending, in which it is possible, if desired, to decrease the sensitivity to PMD even further by means of dopes.

The profile that applies in the present invention is defined by the parameters as mentioned above. In that connection it can furthermore be mentioned that the refractive index value may be constant in the area having diameter a, wherein it is desirable in a specific embodiment, however, for the refractive index to have a maximum value in

10

15

20

25

30

the area having diameter a that surrounds the central axis of symmetry, which maximum value decreases substantially linearly to a value n,' towards the outer core, wherein $n_1 > n_1' > n_2$. In a specific embodiment it is furthermore desirable for the refractive index to have a maximum value in the area having diameter a surrounding the central axis of symmetry, which maximum value decreases substantially linearly to the value n₂ towards the outer core. In specific circumstances it may furthermore be desirable to use an embodiment in which the refractive index value n, is substantially constant in the area having a diameter a' surrounding the central axis of symmetry, which refractive index value subsequently decreases substantially linearly to a value n₁' over a distance a towards the outer core, wherein a' < a < b and $n_1 > n_1' > n_2$. Moreover, a special embodiment is desirable in which the refractive index value is constant in the area having diameter a' surrounding the central axis of symmetry, which refractive index value decreases substantially linearly to a value n_2 over a distance a towards the outer core, wherein a' < a < b and n_1 > n_2 .

The present optical fibre has a so-called dual shape core profile, wherein the core consists of a central portion having a high refractive index value and, adjacently thereto, a surrounding ring having a lower refractive index. The refractive index values of the core and the adjacent surrounding ring are higher than those of the cladding, which cladding surrounds the ring. The increase of the refractive index of the core relative to the surrounding layers is effected by doping the silica core with GeO_2 . In a special embodiment, both the core and a part of the inner core surrounding the core are doped with fluor.

In a particularly preferred embodiment, the present optical fibre furthermore meets the requirement:

2 ps/(nm.km) < = dispersion at 1550 nm < = 10 ps/(nm.km).

The non-linear effect FWM increases strongly when the dispersion of the optical fibre approaches a value zero. Because it is very difficult in a transmission system to compensate for such non-linear effects, it is desirable for the dispersion to take place within the aforesaid range.

In a special embodiment, the present optical fibre furthermore preferably meets the requirement:

dispersion gradient at 1550 nm $< = 0.095 \text{ ps/(nm}^2.\text{km})$.

10

5

A dispersion gradient which meets the above requirement is in particular desirable because the dispersion at the edge of the transmission band will differ strongly from the dispersion in the centre thereof if the gradient is too high. In addition, such a difference in dispersion is difficult in a transmission system and it can only be compensated at high cost, so that it is desirable that the aforesaid requirement as regards the dispersion gradient be met.

In a special embodiment it is furthermore desirable for the present optical fibre to meet the requirement:

20

25

30

15

$$7.5 < = MFD < = 9.5$$

If the mode field diameter (MFD) is lower than 7.5, the light intensity in the optical fibre will increase, as a consequence of which the optical fibre will be adversely affected by the undesirable non-linear effect SPM. A mode field diameter higher than 9.5, however, will lead to problems with the dispersion gradient, which value will also become higher, which is thus undesirable.

In another preferred embodiment, it is in particular preferable for the optical fibre to meet the requirement:

10

15

20

25

30

cutoff wavelength < 1450 nm

In a special embodiment of the present invention, the inner core and part of the outer core are doped with fluor. This manner of doping makes it possible to maintain the circular symmetry of the fibre in a reproducible manner, because the collapsing process, in which the hollow bar is converted into a massive bar, is easier to control.

It is in particular preferable if the present optical fibre comprises one or more additional layers present on the cladding, wherein the E-modulus of the first additional layer is lower than 5 MPA at a temperature of 20 $^{\circ}$ C and wherein that of the second additional layer is higher than 500 MPA.

Such fibres appear to have very good macro bending properties, which properties can only be observed when at least 100 bindings are arranged on a drum having a diameter of 30 mm. The experimentally determined macro bending values with 100 bindings on such a drum are lower than 10 dB/km.

The present invention will be explained in more detail hereinafter with reference to a number of figures, which figures do not constitute a limitation of the scope of the invention, however.

Figures 1-5 are refractive index profiles according to the present invention.

Figure 1 schematically shows a stepped refractive index profile of the present optical fibre, in which the refractive index in the inner core having diameter a is substantially constant over the entire distance thereof.

In figure 2, the inner core has a maximum refractive index value in the area surrounding the central axis of symmetry, which maximum refractive index decreases towards the outer core to a value n_1' , wherein $n_1 > n_1' > n_2$.

In figure 3, the inner core has a maximum refractive index

value in the area surrounding the central axis of symmetry, which maximum refractive index value n_1 decreases to a value n_2 from the central axis of symmetry towards the outer core.

In figure 4, the inner core has a maximum refractive index value in the area having diameter a' that surrounds the central axis of symmetry. This maximum refractive index value n_1 subsequently decreases towards the outer core, to a value n_1 ' in the area having diameter a, wherein a' < a < b and $n_1 > n_1$ ' > n_2 .

Figure 5 shows a refractive index profile in which the inner core has a maximum refractive index value in an area surrounding the central axis of symmetry, which area has a diameter a'. The maximum refractive index value n_1 decreases towards the outer core to a value n_2 in the area having diameter a, wherein $n_1 > n_2$ and a' < a < b.

15

10

5

CLAIMS

1. An optical fibre mainly consisting of silica glass, which fibre comprises:

i) an inner core having a first refractive index nl and a first diameter a:

ii) an outer core surrounding the outer circumference of the inner core and having a second refractive index n2 and a second diameter b; and

iii) a cladding surrounding the outer circumference of the outer core, which cladding has a third refractive index n3, wherein n1 > n2 > n3, characterized in that the optical fibre has a zero dispersion wavelength of less than 1500 nm, and in that it meets the following requirements:

15

5

20

25

wherein:

$$D2 = ((n2-n0)/n2)*100%$$

$$D1 = ((n1-n0)/n1)*100%$$

nO = refractive index value undoped silica glass

n1 = refractive index value doped inner core

n2 = refractive index value doped outer core.

2. An optical fibre according to claim 1, characterized in that the optical fibre furthermore meets the requirement:

30

2 ps/(nm.km)
$$<$$
 = dispersion at 1550 nm $<$ =10 ps/(nm.km).

WO 03/001274 PCT/NL02/00400

10

3. An optical fibre according to claims 1-2, characterized in that the optical fibre furthermore meets the requirement:

dispersion gradient at 1550 nm $< = 0.095 \text{ ps/(nm}^2.\text{km})$.

5

4. An optical fibre according to claims 1 - 3, characterized in that the optical fibre furthermore meets the requirement:

$$7.5 < = MFD < = 9.5$$

10 5. An optical fibre according to claims 1-4, characterized in that the optical fibre furthermore meets the requirement:

cutoff wavelength < 1450 nm

- 15 6. An optical fibre according to claims 1 5, characterized in that part of the cladding is doped with fluor, which doped part of the cladding lies directly adjacently to the outer circumference of the outer core.
- 7. An optical fibre according to claims 1 6, characterized in that one or more additional layers are present on the cladding, wherein the E-modulus of the first additional layer is lower than 5 MPa at 20 °C and that of the second additional layer is higher than 500 MPa.

1/1

FIGURE 1

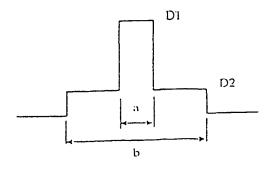


FIGURE 2

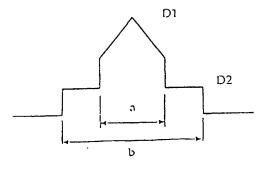


FIGURE 3

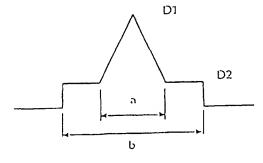


FIGURE 4

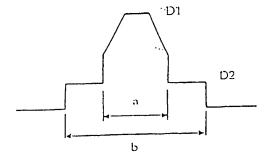
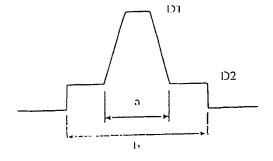


FIGURE 5



INTERNATIONAL SEARCH REPORT

International Application No PCT/NL 02/00400

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G02B6/22

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

 $\label{lower model} \begin{tabular}{ll} \begin{tabular}{ll} Minimum documentation searched (classification system followed by classification symbols) \\ IPC 7 & GO2B \end{tabular}$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT					
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.			
х	EP 0 249 230 A (SUMITOMO ELECTRIC INDUSTRIES) 16 December 1987 (1987-12-16) figures 1,2,5,7,11,15 column 1, line 20 -column 2, line 51 column 3, line 32 -column 4, line 13 column 7, line 51 -column 9, line 60 column 12, line 6 - line 15	1			
X	EP 0 785 448 A (SUMITOMO ELECTRIC INDUSTRIES) 23 July 1997 (1997-07-23) cited in the application figures 1,2,4 column 7, line 57 -column 8, line 59 column 10, line 1 -column 12, line 3	1,4,6			

X Further documents are listed in the continuation of box C.	Patent family members are listed in annex.		
Special categories of cited documents: A' document defining the general state of the lart which is not considered to be of particular relevance E' earlier document but published on or after the international filing date L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) O' document referring to an oral disclosure, use, exhibition or other means document published prior to the international filing date but later than the priority date claimed Oate of the actual completion of the international search	 "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family Date of mailing of the international search report 		
16 October 2002 Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk	23/10/2002 Authorized officer		
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl. Fax: (+31-70) 340-3016	Mathyssek, K		

Form PCT/ISA/210 (second sheet) (July 1992)

INTERNATIONAL SEARCH REPORT

International Application No
PCT/NL 02/00400

		PCT/NL 02/00400
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 848 215 A (AKASAKA YOUICHI ET AL) 8 December 1998 (1998-12-08) figures 3-5 column 2, line 14 -column 4, line 33 column 5, line 18 -column 8, line 61	1,4,5
А	US 4 755 022 A (UESUGI NAOSHI ET AL) 5 July 1988 (1988-07-05) figures 1-10 column 4, line 53 -column 6, line 56 column 8, line 23 -column 10, line 25	1,2,4
A	EP 0 689 068 A (SUMITOMO ELECTRIC INDUSTRIES) 27 December 1995 (1995-12-27) figures 1-5 column 1, line 12 -column 3, line 24 column 5, line 9 -column 8, line 58 column 10, line 7 -column 12, line 46	1,3
A	EP 1 037 074 A (SUMITOMO ELECTRIC INDUSTRIES) 20 September 2000 (2000-09-20) figures 2,6 column 8, line 11 - line 54 column 10, line 18 - line 52	1,3,5
A	WO 99 11391 A (DESAUNAY JEAN LOUIS; MORGAND ANNIE (FR); RUELLO YVES (FR); FRANCE) 11 March 1999 (1999-03-11) page 16, line 27 -page 17, line 19	1,7

INTERNATIONAL SEARCH REPORT

International Application No
PCT/NL 02/00400

. <u></u>					
Patent document cited in search report		Publication date		Patent family member(s)	Publication date
EP 0249230	Α	16-12-1987	JP JP JP JP	8020574 B 63217311 A 1765266 C 4053823 B	04-03-1996 09-09-1988 11-06-1993 27-08-1992
			JP	63222042 A	14-09-1988
			JP	1663994 C	19-05-1992
			JP	3027491 B	16-04-1991
			JP	62292647 A 592875 B2	19-12-1987 25-01-1990
			AU AU	7413187 A	17-12-1987
			CA	1294438 A1	21-01-1992
			DE	3762609 D1	13-06-1990
			EP	0249230 A1 9003449 B1	16-12-1987 19-05-1990
			KR US	4822399 A	18-04-1989
EP 0785448	Α	23-07-1997	AU AU	712202 B2 7647596 A	28-10-1999 24-07-1997
			CA	2193542 A1	17-07-1997
			CN	1163411 A ,B	29-10-1997
			EP '	0785448 A1	23-07-1997
			JP KR	9258054 A 244384 B1	03-10-1997 02-03-2000
			US	5721800 A	24-02-1998
US 5848215	Α	08-12-1998	CA	2211820 A1	01-02-1998
			JP 	10096828 A	14-04-1998
US 4755022	Α	05-07-1988	JP	1657068 C	13-04-1992
,			JP	3018161 B	11-03-1991
			JP CA	62052508 A 1269262 A1	07-03-1987 22-05-1990
			FR	2586823 A1	06-03-1987
			GB	2185331 A ,B	15-07-1987
EP 0689068	Α	27-12-1995	JP	8005855 A	12-01-1996
			JP	8160241 A 0689068 A1	21-06-1996 27-12-1995
			EP US	5559921 A	24-09-1996
EP 1037074		20-09-2000	AU	740596 B2	08-11-2001
EF 103/0/4	Α	20-09-2000	AU	1173299 A	28-06-1999
			CA	2312717 A1	17-06-1999
			EP	1037074 A1	20-09-2000
			US US	6256440 B1 2001028774 A1	03-07-2001 11-10-2001
			WO	9930194 A1	17-06-1999
W0 9911391	A	11-03-1999	FR	2767724 A1	05-03-1999
			CN	1268908 T	σ4-1σ-2σσσ
			EP	1017509 A1	12-07-2000
			WO	9911391 A1	11-03-1999
			JP	2001514074 T	11-09-2001

Form PCT/ISA/210 (patent family annex) (July 1992)